CÖNY OF PAPERS ORIGINALLY FILED



Patent Docket P0710P1D1

HE UNITED STATES PATENT AND TRADEMARK OFFICE

RECEIVED

In re Application of

Paul J. Carter

Serial No.: 09/714,040

Filed: November 15, 2000

For:

EXPRESSION OF FUNCTIONAL

ANTIBODY FRAGMENTS

Group Art Unit: 1642

MAY 2 0 2002

Examiner: not yet assigned

TECH CENTER 1600/2900

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mell in an envelope addressed to: Assistant Commissioner of Patents; Washington, D.C. 20231 on

March _*[0*__, 2002

<u>JAMALA IV</u> Anna Kan

SECOND REQUEST FOR A CORRECTED FILING RECEIPT

Assistant Commissioner of Patents Office of Initial Patent Examination Customer Service Center Washington, D.C. 20231

Sir:

Attached is a copy of the Replacement Filing Receipt received from the PTO in the above application for which issuance of a corrected filing receipt is respectfully requested. Please make the correction as follows:

In the "Continuing Data as Claimed by Applicant" section please correct the third line as follows:

WHICH IS A CIP OF 07/762,292 09/19/1991 ABN

The correction is not due to any error by applicant and no fee is believed to be due. However, in the event that the Patent Office determines that fees are due in connection with the filing of this document, we hereby authorize the Commissioner to charge such fees to our Deposit Account No. 07-0630.

Respectfully submitted,

GENENTECH, INC.

Date: March 4, 2002

By: Wendy M. Lee

Reg. No. 40,378

Telephone No. (650) 225-1994

09157

PATENT TRADEMARK OFFICE





United States Patent and Trademark Office

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231

www.uspto.gov

APPLICATION NUMBER

FILING DATE

GRP ART UNIT

FIL FEE REC'D

ATTY.DOCKET.NO

DRAWINGS

TOT CLAIMS IND CLAIMS

09/714.040

11/15/2000

1642

710

P0710P1D1

2

5

1

Wendy M Lee Genentech Inc 1 DNA Way

South San Francisco, CA 94080-4990



CONFIRMATION NO. 5212
REPLACEMENT FILING RECEIPT

COMPANDAMENT FILING RECE

MAY 2 0 2002

Date Mailed: 02/20/2002

TECH CENTER 1600/2900

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Paul J. Carter, San Francisco, CA;

RECEIVED

~ ² **3 6** 2002

GENENTECH, INC. LEGAL, DEPT.

Domestic Priority data as claimed by applicant

THIS APPLICATION IS A DIV OF 08/199,268 02/28/1994 WHICH IS A 371 OF PCT/US92/07986 09/18/1992 WHICH IS A CONTOF 07/762,292 09/19/1991 ABN

CIP

Foreign Applications

PCT/US92/07986 09/18/1992

If Required, Foreign Filing License Granted 02/22/2001

Projected Publication Date: Not Applicable, filed prior to November 29,2000

Non-Publication Request: No

Early Publication Request: No

Title

Expression of functional antibody fragments

Preliminary Class